



IFG

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Axel **HUEBERGER** et al.

Appln. No.: **10/588,944**

Filed: **08/10/2006**

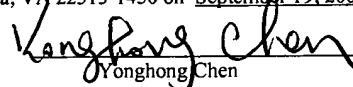
For: **METHOD FOR PROCESSING WORKPIECE SURFACES**

Attorney Docket No.: **3926.281**

Customer No.: **30448**

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 19, 2006.

 Reg. No. 56,150
Yonghong Chen

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report (ISR) and the German Search Report dated April 15, 2004 are provided herewith.

1. DE 100 85 168 T1
2. DE 195 06 568 A1
3. DE 198 09 367 A1

{WP335351;1}

4. EP 0 169 984 A2
5. US 5,626,674
6. US 5,630,953
7. DE 43 16 012 C2 (has English-language equivalent application US 5,630,953 listed as Document 6)
8. DE 101 53 305 A1 (has English-language equivalent application US 6,739,377 B2 listed as Document 9)
9. US 6,739,377 B2

Documents 1-6 are cited in the ISR. Document 3 is also cited in the German Search Report. Documents 7-8 are mentioned in the background of the specification. Document 6 is an English-language equivalent application of Document 7. Document 9 is an English-language equivalent application of Document 8. A concise explanation of relevancy for each non-English document is provided below:

Document 1

It is indicated in the ISR that the whole document of Document 1 is "X" category relevant to claims 1-2, 5-6, and 8-10 and "Y" category relevant to claims 3-4 of the corresponding PCT application.

Document 2

It is indicated in the ISR that column 2, lines 28-42 of Document 2 are "Y" category relevant to claims 3-4 of the corresponding PCT application.

Document 3

It is indicated in the ISR that column 1, lines 1-42, column 5, lines 2-50, and Fig. 3 of Document 3 are "X" category relevant to claims 1-2 and 9 and "Y" category relevant to claims 3-4 of the corresponding PCT application.

{WP335351;1}

Document 4

It is indicated in the ISR that the whole document of Document 4 is "X" category relevant to claims 1-2 and 8-9 of the corresponding PCT application.

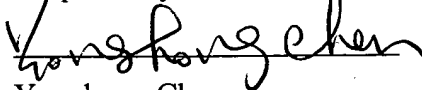
The present Information Disclosure Statement is being filed within three months of the filing date of the instant application or before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Date: September 19, 2006

Respectfully submitted,



Yonghong Chen

Registration No. 56,150

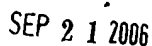
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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/588,944
Filing Date	08/10/2006
First Named Inventor	Axel HUEBERGER
Art Unit	
Examiner Name	
Attorney Docket Number	3926.281

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
		DE 100 85 168 T1	10-31-2002	TOYOTA JIDOSHA K.K.		
		DE 195 06 568 A1	08-29-1996	Bayerische Motoren Werke AG		
		DE 198 09 367 A1	09-09-1999	Nagel Maschinen- und Werkzeugfabrik GmbH		
		EP 0 169 984 A2	02-05-1986	Audi AG		
		DE 43 16 012 C2	09-24-1998	Maschinenfabrik Gehring GmbH & Co		
		DE 101 53 305 A1	05-28-2003	DaimlerChrysler AG		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.